

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17408

In Re Application Of: Koichi Ohto, et al.

Serial No.
10/767,786

Filing Date
January 29, 2004

Examiner
Unassigned

Group Art Unit
Unassigned

Title: **SEMICONDUCTOR DEVICE, AND PRODUCTION METHOD FOR
MANUFACTURING SUCH SEMICONDUCTOR DEVICE**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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MANUFACTURING SUCH SEMICONDUCTOR DEVICE**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP**
as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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addressed to the Commissioner for Patents, P.O. Box
1450, Alexandria, VA 22313-1450.

Signature

Paul J. Esatto, Jr.

Typed or Printed Name

***This certificate may only be used if paying by
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Signature

Dated: **March 18, 2004**

Paul J. Esatto, Jr.

Reg. No. 30,749

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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Koichi Ohto, et al.

Examiner: Unassigned

Serial No: 10/767,786

Art Unit: Unassigned

Filed: January 29, 2004

Docket: 17408

**For: SEMICONDUCTOR DEVICE,
AND PRODUCTION METHOD FOR
MANUFACTURING SUCH SEMICONDUCTOR
DEVICE**

Dated: March 18, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

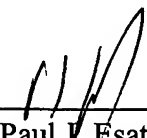
In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Patent Publication No. 2002-026121, dated January 25, 2002, together with English-language Abstract;
2. Japanese Patent Publication No. 2003-017561, dated January 17, 2003, together with English-language Abstract;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on March 18, 2004.

Dated: March 18, 2004



Paul J. Esatto, Jr.

Applicants are submitting a copy of the above-cited references. The relevance of the above-identified references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)
17408

Application Number
10/767,786

Applicant(s)
Koichi Ohto, et al.

Filing Date
January 29, 2004

Group Art Unit
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE



FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		2002-026121	1/25/02	JAPAN			X	
		2003-017561	1/17/03	JAPAN			X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.